

DOCKET NO.: ISIS-5037



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In Re Application of:

Achin H. Krotz, et al.

Serial No.: 10/103,906

Group Art Unit: 1623

Filing Date: March 22, 2002

Examiner: Not Yet Assigned

For: METHODS FOR REMOVING DIMETHOXYTRITYL GROUPS FROM
OLIGONUCLEOTIDES

DATE OF DEPOSIT: 6/20/02

I HEREBY CERTIFY THAT THIS PAPER IS BEING
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THE ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, DC 20231

TYPED NAME: Stephen C. Timmins

REGISTRATION NO.: 48,481

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).



In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:

☐ Certification in Accordance with §1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in §1.17(p) is attached.

- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with §1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in §1.17(p) is attached.

- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).

- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
EXCEPT THAT:

- ☒ In view of the voluminous nature of references **33 and 34**, and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☒ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

☒ Copies of references **1-49 and 51-53** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. **09/271,220**, filed **March 17, 1999** now issued as U.S. Patent No. **US 6,399,765 B1**, issued **June 4, 2002**.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

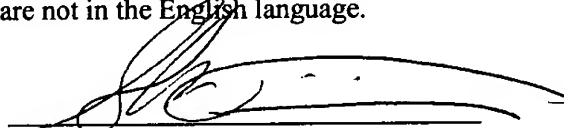
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This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date:

6/20/02


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Sheet 1 of 4

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. ISIS-5037	Serial No. 10/103,906
		Applicant Achim H. Krotz et al.	
		Filing Date March 22, 2002	Group 1623
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
1	Alul, R.H. et al., "Oxalyl-CPG: a labile support for synthesis of sensitive oligonucleotide derivatives", <i>Nucl. Acid Res.</i> , 1991, 19, 1527-1532		
2	Barber, I. et al., "Solution-Phase Synthesis of Phosphorothioate Oligodeoxynucleosides by the Phosphotriester Method," <i>Antisense Res. Devel.</i> , 1995, 5, 39-47		
3	Beaucage, S.L. et al., "Advances in the Synthesis of Oligonucleotides by the Phosphoramidite Approach", <i>Tetrahedron</i> , 1992, 48, 2223-2311		
4	Bonora, G.M. et al., "Large scale, liquid phase synthesis of oligonucleotides by the phosphoramidite approach," <i>Nucl. Acids Res.</i> , 1993, 21(5), 1213-1217		
5	Chiang, M.Y. et al., "Antisense Oligonucleotides Inhibit Intercellular Adhesion Molecule 1 Expression by Two Distinct Mechanisms", <i>J. Biol. Chem.</i> , 1991, 266, 18162-18171		
6	Crooke, S.T. et al., "Pharmacokinetic Properties of Several Novel Oligonucleotide Analogs in mice", <i>J. Pharmacol. Exp. Therapeutics</i> , 1996, 277, 923-937		
7	Dahl, O. et al., "Preparation of Nucleoside Phosphorothioates, Phosphorodithioates and Related Compounds", <i>Sulfur Reports</i> , 1991, 11(1), 167-192		
8	Eckstein, F., "Nucleoside Phosphorothioates", <i>Ann. Rev. Biochem.</i> , 1985, 54, 367-402		
9	Gait, M. J. ed., "An Introduction to Modern Methods of DNA Synthesis," <i>Oligonucleotide Synthesis, A Practical Approach</i> , IRL Press, Oxford, 1985, IRL Press, Oxford, Ch. 1, 1-22		
10	Gebeyehu, G. et al., "Novel bitinylated nucleotide - analogs for labeling and colorimetric detection of DNA", <i>Nucl. Acids Res.</i> , 1987, 15, 4513-4534		
11	Kabanov, A.V., "A new class of antivirals: antisense oligonucleotides combined with a hydrophobic substituent effectively inhibit influenza virus reproduction and synthesis of virus-specific proteins in MDCK cells", <i>FEBS Letts.</i> , 1990, 259, 327-330		
12	Kornberg, A., <i>DNA Replication</i> , W.H. Freeman and Co., San Francisco, 1980, 75-77		
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
13	Kresse, J. et al., "The use of S-2-cyanoethyl phosphorothioate in the preparation of oligo 5'-deoxy-5'-thiothymidylates", <i>Nucl. Acids Res.</i> , 1975, 2, 1-9		
14	Letsinger, R.L. et al., "Cholesteryl-conjugated oligonucleotides: Synthesis, properties and activity as inhibitors of replication of human immunodeficiency virus in cell culture", <i>Proc. Natl. Acad. Sci.</i> , 1989, 86, 6553-6556		
15	Manoharan M. et al., "Oligonucleotide Conjugates: Alteration of the Pharmacokinetic Properties of Antisense Agents", <i>Nucleosides and Nucleotides</i> , 1995, 14, 969-973		
16	Manoharan, M. et al., "Lipidic Nucleic Acids", <i>Tetrahedron Letts.</i> , 1995, 36, 3651-3654		
17	Manoharan M. et al., "Cholic Acid-Oligonucleotide Conjugates for Antisense Applications", <i>Bioorganic Med. Chem. Letts.</i> , 1994, 4, 1053-1060		
18	Manoharan, M. et al., "Introduction of a Lipophilic Thioether Tether in the Minor Groove of Nucleic Acids for Antisense Applications", <i>Bioorg. Med. Chem. Letts.</i> , 1993, 3, 2765-2770		
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20	Martin, P., "Ein neuer Zugang zu 2'-O-Alkylribonucleosiden und Eigenschaften deren Oligonucleotide", <i>Helvetica Chimica Acta</i> , 1995, 78, 486-504		
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22	Nielsen, P.E. et al., "Sequence-Selective Recognition of DNA by Strand Displacement with a Thymine-Substituted Polyamide", <i>Science</i> , 1991, 254, 1497-1500		
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24	Padmapriya, A.A. et al., "Large-Scale Synthesis, Purification, and Analysis of Oligodeoxynucleotide Phosphorothioates", <i>Antisense Res. Devel.</i> , 1994, 4, 185-199		
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Form PTO-1449 Modified

List of Patent and Publications
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U.S. Department of Commerce
Patent and Trademark Office

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25	Paul, C.H. et al., "Acid binding and detritylation during oligonucleotide synthesis," <i>Nucl. Acids Res.</i> , 1996, 24(15), 3048-3052
26	Saison-Behmoaras, T. et al., "Short modified antisense oligonucleotides directed against Ha-ras point mutation induce selective cleavage of the mRNA and inhibit T24 cells proliferation", <i>EMBO J.</i> , 1991, 10, 1111-1118
27	Sekine, M et al., "Synthesis and Properties of S,S-Diaryl Nucleoside Phosphorodithioates in Oligonucleotide Synthesis", <i>J. Org. Chem.</i> , 1979, 44(13), 2325-2326
28	Septak, M., "Kinetic studies on depurination and detritylation of CPG-bound intermediates during oligonucleotide synthesis," <i>Nucl. Acids Res.</i> , 1996, 24(15), 3053-3058
29	Shea, R.G. et al., "Synthesis, hybridization properties and antiviral activity of lipid-oligodeoxynucleotide conjugates", <i>Nucl. Acids Res.</i> , 1990, 18, 3777-3783
30	Svinarchuk, F.P. et al., "Inhibition of HIV proliferation in MT-4 cells by antisense oligonucleotide conjugated to lipophilic groups", <i>Biochimie</i> , 1993, 79, 49-54
31	Wright, P. et al., "Large Scale Synthesis of Oligonucleotides via phosphoramidite Nucleosides and a High-loaded Polystyrene Support", <i>Tetrahedron Letts.</i> , 1993, 34, 3373-3376
32	Yau, E.K. et al., "Synthesis of Dinucleoside and Dinucleotide Phosphorodithioates Via a Phosphotriester Approach", <i>Tetrahedron Letts.</i> , 1990, 31(14), 1953-1956
*	33 Agrawal, S. (ed.), <i>Protocols for Oligonucleotides and Analogs</i> , Humana Press, Totowa, NJ, 1993
*	34 Eckstein, F. (ed.), <i>Oligonucleotide and Analogues, A Practical Approach</i> , IRL Press, New York, 1991

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*A copy of these references will not be forwarded to the U.S. Patent and Trademark Office since they are believed to be too voluminous and easily obtainable by the Examiner.



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Examiner Initial		Document No.	Date	Name	Class	Subclass
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	36	4,458,066	07/03/84	Caruthers et al.	536	27
	37	4,500,707	02/19/85	Caruthers et al.	536	27
	38	4,517,338	05/14/85	Urdea et al.	525	54
	39	4,668,777	05/26/89	Caruthers et al.	536	27
	40	4,725,677	02/16/88	Köster et al.	536	27
	41	4,973,679	11/27/90	Caruthers et al.	536	27
	42	5,132,418	07/21/92	Caruthers et al.	536	27
	43	5,138,045	08/11/92	Cook et al.	536	27
	44	Re. 34,069	09/15/92	Köster et al.	536	27
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	46	5,218,105	06/08/93	Cook et al.	536	25.31
	47	5,459,255	10/17/95	Cook et al.	536	27.13
	48	5,539,082	07/23/96	Nielsen et al.	530	300
	49	5,571,902	11/05/96	Ravikumar et al.	536	22.1
	50	US 6,399,765 B1	06/04/02	Krotz, et a.	536	25.31

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	
	51	WO 92/20823	11/26/92	PCT		
	52	0035255 A1	09/1981	EPO		
	53	WO 96/03417	02/1996	PCT		

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